### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Lobe Sciences Ltd Confirmation No.:

Serial No.: 17/916,855 Group No.:

Filing or 371(c) Date: 20 April 2021 Examiner:

Entitled: Methods, Compositions and Devices for Treating Mild Traumatic Brain Injury, Post Traumatic Stress Disorder and Mild Traumatic Brain Injury with Post Traumatic Stress Disorder

### THIRD-PARTY PRE-ISSUANCE SUBMISSION

### Examiner:

The following documents, which are also identified in the Form PTO/SB/429 filed herewith, are submitted for your consideration as being of potential relevance to the examination of the present application:

- 1. EAKIN (2014) "Efficacy of N-Acetyl Cysteine in Traumatic Brain Injury" *PLoS One.* Vol. 9(4): e90617.
- BACK (2017) "A Double-Blind Randomized Controlled Pilot Trial of N-Acetylcysteine in Veterans with PTSD and Substance Use Disorders" *Journal of Clinical Psychiatry*. Vo. 77(11): e1439–e1446.
- 3. HOFFER (2013) "Amelioration of Acute Sequelae of Blast Induced Mild Traumatic Brain Injury by N-Acetyl Cysteine: A Double-Blind, Placebo Controlled Study" *PLoS One*. Vol. 8(1): e54163.
- 4. Intl. Pat. Doc. No. WO2020212952 "TREATMENT OF DEPRESSION AND OTHER VARIOUS DISORDERS WITH PSILOCYBIN" (Published 22 October 2020)
- CISION (2020) "ATAI Life Sciences Partners with Neuronasal Inc. to Develop Novel Treatment for mild Traumatic Brain Injury (mTBI)" Published 6 January 2020. Retrieved from 3 March 2020. URL: <a href="https://web.archive.org/web/20200303215829/https://www.prnewswire.com/news-releases/atai-life-sciences-partners-with-neuronasal-inc-to-develop-novel-treatment-for-mild-traumatic-brain-injury-mtbi-300981591.html</a>
- 6. NEURONASAL (2017) "Treating Brain Injury" Retrieved from 12 November 2017. URL: https://web.archive.org/web/20171112165607/http://www.neuronasal.com/deck-link.html

- 7. NEURONASAL (2017) "Reduce acute brain injury by novel patented nose-to-brain drug delivery route" Retrieved from 12 November 2017. Linked presentation located in the URL: <a href="https://web.archive.org/web/20171112165607/http://www.neuronasal.com/deck-link.html">https://web.archive.org/web/20171112165607/http://www.neuronasal.com/deck-link.html</a>
- 8. MAYO CLINIC (2017) "Post-traumatic stress disorder (PTSD)" Retrieved from 09 October 2017. URL: <a href="https://web.archive.org/web/20171009044608/https://www.mayoclinic.org/diseases-conditions/post-traumatic-stress-disorder/symptoms-causes/syc-20355967">https://www.mayoclinic.org/diseases-conditions/post-traumatic-stress-disorder/symptoms-causes/syc-20355967</a>
- 9. WIKIPEDIA (2019) "Psilocybin" Retrieved from 28 December 2019. URL: <a href="https://web.archive.org/web/20191228184209/https://en.wikipedia.org/wiki/Psilocybin">https://web.archive.org/web/20191228184209/https://en.wikipedia.org/wiki/Psilocybin</a>
- 10. RAMBOUSEK (2014) "The Effect of Psilocin on Memory Acquisition, Retrieval, and Consolidation in the Rat" Frontiers in Behavioral Neuroscience. Vol 8: pages 1-7
- 11. EROWID USER LifeIsIntense (2019) "I Was Slowly on the Crawl Out of Depression" Retrieved from 19 July 2019. URL:
  <a href="https://web.archive.org/web/20190719201116/https://erowid.org/experiences/exp.php?ID=110595">https://web.archive.org/web/20190719201116/https://erowid.org/experiences/exp.php?ID=110595</a>
  5
- 12. BIRD (2020) "Smell changes memory processing and could treat trauma" *Medical News Today*.

  URL: <a href="https://www.medicalnewstoday.com/articles/smell-changes-memory-processing-and-could-treat-trauma#1">https://www.medicalnewstoday.com/articles/smell-changes-memory-processing-and-could-treat-trauma#1</a>
- 13. WIKIPEDIA (2017) "Psilocybe azurescens" Retrieved from January 2017. URL: <a href="https://web.archive.org/web/20170131223629/https://en.wikipedia.org/wiki/Psilocybe\_azurescens">https://web.archive.org/web/20170131223629/https://en.wikipedia.org/wiki/Psilocybe\_azurescens</a>
- 14. BAUER (2019) "What Are Psilocybin Derivatives?" Retrieved from 27 July 2019. URL: <a href="https://web.archive.org/web/20190727145651/https://psychedelicreview.com/what-are-psilocybin-derivatives/">https://web.archive.org/web/20190727145651/https://psychedelicreview.com/what-are-psilocybin-derivatives/</a>

Attached hereto is a claim chart providing a concise description of the relevance of each reference in the document list to the elements of the presently pending claims.

U.S.S.N. 17/916,855	References
Pending Claims	
1. A method for	11. EROWID USER LifeIsIntense (2019) "I Was Slowly on the Crawl Out
alleviating one or	of Depression" Retrieved 19 July 2019. URL:
more symptoms of	https://web.archive.org/web/20190719201116/https://erowid.org/experiences
mild traumatic brain	/exp.php?ID=110595
injury (mTBI), post-	
traumatic stress	

disorder (PTSD) or mTBI with PTSD, said method comprising administering to a subject suffering from mTBI, PTSD or mTBI with PTSD a psychedelic agent in combination with Nacetylcysteine (NAC). From page 1 "Normally I consume between 1 and 3.5 grams dried mushrooms. I scaled back this time to half a gram, just to be safe and see."

From page 1 "Medication/Supplements: I take no lithium or anti-psychotics for my bipolar. I have decided that medication is not for me after thorough research. I do take N-ACETYL CYSTEINE supplements, ashwaganda supplements, omega-3 supplements, B12 supplements, and folic acid. All these supplements are taken daily."

From page 1 "Mental Effects: Noticeable at about the half hour mark. Change in thought process first. Flight of thoughts, beautiful but unable to hold on to, quite like the flight of thoughts in mania, but less frustrating. The thought of motion is discretized. A general feeling of self-acceptance. I am dealing with a good deal of PTSD; lately I have loathed myself for failing to see an abusive person in my life for what they were. The mushrooms facilitated a self-acceptance process. The denial was a survival strategy. I was surviving. I need for forgive myself I decided."

1. EAKIN (2014) "Efficacy of N-Acetyl Cysteine in Traumatic Brain Injury" *PLoS One.* Vol. 9(4): e90617.

From **Abstract** "In this study, using two different injury models in two different species, we found that early **post-injury treatment with N-Acetyl Cysteine (NAC) reversed the behavioral deficits associated with the TBI."** 

2. BACK (2017) "A Double-Blind Randomized Controlled Pilot Trial of N-Acetylcysteine in Veterans with PTSD and Substance Use Disorders" *Journal of Clinical Psychiatry*. Vo. 77(11): e1439–e1446.

From Abstract "Participants treated with NAC, as compared to placebo, evidenced significant improvements in PTSD symptoms, craving, and depression."

3. HOFFER (2013) "Amelioration of Acute Sequelae of Blast Induced Mild Traumatic Brain Injury by N-Acetyl Cysteine: A Double-Blind, Placebo Controlled Study" *PLoS One*. Vol. 8(1): e54163.

From **Abstract** "Secondary analysis revealed **subjects receiving NAC** within 24 hours of blast had an 86% chance of symptom resolution with no reported side effects versus 42% for those seen early who received placebo."

From **Abstract** "This study, conducted in an active theatre of war, demonstrates that **NAC**, a safe pharmaceutical countermeasure, has beneficial effects on the severity and resolution of sequelae of blast induced mTBI. This is the first demonstration of an effective short term countermeasure for mTBI. Further work on long term outcomes and the potential use of NAC in civilian mTBI is warranted."

4. Intl. Pat. Doc. No. WO2020212952 "TREATMENT OF DEPRESSION AND OTHER VARIOUS DISORDERS WITH PSILOCYBIN" (Published 22 October 2020)

From claim 111 "A method of treating a subject in need thereof, the method comprising administering to the subject a therapeuticallyeffective dose of psilocybin, wherein the subject has at least one of the following diseases, disorders, or conditions: Disruptive Mood Dysregulation Disorder, Major Depressive Disorder (MDD), Treatment Resistant Depression, Persistent Depressive Disorder (Dysthymia), Premenstrual Dysphoric Disorder, Substance/Medication-Induced Depressive Disorder, Post-Partum depression, or Depressive Disorder due to Another Medical Condition, Separation Anxiety Disorder, Selective Mutism, Specific Phobia, Social Anxiety Disorder (Social Phobia), Panic Disorder, Panic Attack, Agoraphobia, Generalized Anxiety Disorder, Substance-Medication-Induced Anxiety Disorder, Anxiety Disorder Due to Another Medical Condition, Somatic Symptom Disorder, Illness Anxiety Disorder (hypochondriac), Conversion Disorder (Functional Neurological Symptom Disorder), Factitious Disorder, Post-Traumatic Stress Disorder (PTSD), Adjustment Disorders, Acute Distress Disorder, Obsessive-Compulsive Disorder, Body Dysmorphic Disorder, Hoarding Disorder, Trichotillomania (Hair-Pulling) Disorder, Excoriation (Skin-Picking) Disorder, Substance/Medication-Induced Obsessive-Compulsive and Related Disorder, Obsessive-Compulsive and Related Disorder due to Another Medical Condition, Substance-Related Disorders, Alcohol-Related Disorders, Cannabis-Related Disorders, Hallucinogen-Related Disorders, Inhalant-Related Disorders, Cocaine-Related Disorders, Opioid-Related Disorders, Sedative-, Hypnotic-, or Anxiolytic-Related Disorders, Stimulant-Related Disorders, Tobacco-Related Disorders, Non-Substance-Related Disorders (Gambling or Gaming Disorder), Migraines, Cluster Headaches such as Chronic Cluster Headaches, Cyclical Vomiting, Tension-Type Headache, Dysphasia, Pica, Anorexia Nervosa, Bulimia Nervosa, Binge-Eating Disorder, Oppositional Defiant Disorder, Intermittent Explosive Disorder, Conduct Disorder, Antisocial Personality Disorder, Psychopathy, Pyromania, or Kleptomania."

From claim 112 "A method of treating a subject in need thereof, the method comprising administering to the subject a therapeutically-effective dose of psilocybin, wherein the subject has at least one of the following diseases, disorders, or conditions: Neurocognitive Disorders due

to Alzheimer's, Lewy Bodies, **Traumatic Brain Injury**, Prion Disease, HIV Infection, Parkinson's, or Huntington's; concussion; chronic traumatic encephalopathy (CTE); Language Disorder, Speech Sound Disorder (Phonological Disorder); Childhood-Onset Fluency Disorder (Stuttering); Social (Pragmatic) Communication Disorder; Tourette's Disorder; Persistent (Chronic) Motor or Vocal Tic Disorder; Amnestic Disorder Due to Known Physiological Condition; Transient Cerebral Ischemic Attack, Cerebral Infarction, Cerebral Bleeding, Progressive Supranuclear Ophthalmoplegia, or Retrograde Amnesia."

- 2. The method of claim 1 wherein the one or more symptoms is selected from intrusive memories, nightmares, a sense of reliving the trauma, or psychological or physiological distress when reminded of the trauma, active avoidance of thoughts, feelings, or reminders of the trauma, inability to recall some aspect of the trauma, withdrawal from others, or emotional numbing, insomnia, irritability, difficulty concentrating, hypervigilence, or heightened startle response.
- 11. EROWID USER LifeIsIntense (2019) "I Was Slowly on the Crawl Out of Depression" Retrieved 19 July 2019. URL: <a href="https://web.archive.org/web/20190719201116/https://erowid.org/experiences/exp.php?ID=110595">https://web.archive.org/web/20190719201116/https://erowid.org/experiences/exp.php?ID=110595</a>

From page 1 "Normally I consume between 1 and 3.5 grams dried mushrooms. I scaled back this time to half a gram, just to be safe and see."

From **page 1** "Medication/Supplements: I take no lithium or anti-psychotics for my bipolar. I have decided that medication is not for me after thorough research. I **do take N-ACETYL CYSTEINE supplements**, ashwaganda supplements, omega-3 supplements, B12 supplements, and folic acid. **All these supplements are taken daily**."

From page 1 "Mental Effects: Noticeable at about the half hour mark. Change in thought process first. Flight of thoughts, beautiful but unable to hold on to, quite like the flight of thoughts in mania, but less frustrating. The thought of motion is discretized. A general feeling of self-acceptance. I am dealing with a good deal of PTSD; lately I have loathed myself for failing to see an abusive person in my life for what they were. The mushrooms facilitated a self-acceptance process. The denial was a survival strategy. I was surviving. I need for forgive myself I decided."

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From Abstract "Participants treated with NAC, as compared to placebo, evidenced significant improvements in PTSD symptoms, craving, and depression."

8. MAYO CLINIC (2017) "Post-traumatic stress disorder (PTSD)" Retrieved from 09 October 2017. URL: https://web.archive.org/web/20171009044608/https://www.mayoclinic.org/d

iseases-conditions/post-traumatic-stress-disorder/symptoms-causes/syc-20355967

## From page 1

"Symptoms

Post-traumatic stress disorder symptoms may start within one month of a traumatic event, but sometimes symptoms may not appear until years after the event. These symptoms cause significant problems in social or work situations and in relationships. They can also interfere with your ability to go about your normal daily tasks.

**PTSD symptoms** are generally grouped into four types: intrusive memories, avoidance, negative changes in thinking and mood, and changes in physical and emotional reactions. Symptoms can vary over time or vary from person to person.

### **Intrusive memories**

Symptoms of intrusive memories may include:

Recurrent, unwanted distressing memories of the traumatic event
Reliving the traumatic event as if it were happening again (flashbacks)
Upsetting dreams or nightmares about the traumatic event
Severe emotional distress or physical reactions to something that
reminds you of the traumatic event

### Avoidance

Symptoms of avoidance may include:

Trying to avoid thinking or talking about the traumatic event Avoiding places, activities or people that remind you of the traumatic event

Negative changes in thinking and mood

Symptoms of negative changes in thinking and mood may include:

Negative thoughts about yourself, other people or the world Hopelessness about the future

Memory problems, including not remembering important aspects of the traumatic event

Difficulty maintaining close relationships Feeling detached from family and friends Lack of interest in activities you once enjoyed Difficulty experiencing positive emotions

Feeling emotionally numb

Changes in physical and emotional reactions

Symptoms of changes in physical and emotional reactions (also called arousal symptoms) may include:

# Being easily startled or frightened Always being on guard for danger

Self-destructive behavior, such as drinking too much or driving too fast

**Trouble sleeping** 

**Trouble concentrating** 

Irritability, angry outbursts or aggressive behavior

Overwhelming guilt or shame"

# 3. The method of claim 1 wherein the psychedelic agent and NAC are administered simultaneously.

11. EROWID USER LifeIsIntense (2019) "I Was Slowly on the Crawl Out of Depression" Retrieved 19 July 2019. URL:

https://web.archive.org/web/20190719201116/https://erowid.org/experiences/exp.php?ID=110595

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Conversion Disorder (Functional Neurological Symptom Disorder), Factitious Disorder, Post-Traumatic Stress Disorder (PTSD), Adjustment Disorders, Acute Distress Disorder, Obsessive-Compulsive Disorder, Body Dysmorphic Disorder, Hoarding Disorder, Trichotillomania (Hair-Pulling) Disorder, Excoriation (Skin-Picking) Disorder, Substance/Medication-Induced Obsessive-Compulsive and Related Disorder, Obsessive-Compulsive and Related Disorder due to Another Medical Condition. Substance-Related Disorders, Alcohol-Related Disorders, Cannabis-Related Disorders, Hallucinogen-Related Disorders, Inhalant-Related Disorders, Cocaine-Related Disorders, Opioid-Related Disorders, Sedative-, Hypnotic-, or Anxiolytic-Related Disorders, Stimulant-Related Disorders, Tobacco-Related Disorders, Non-Substance-Related Disorders (Gambling or Gaming Disorder), Migraines, Cluster Headaches such as Chronic Cluster Headaches, Cyclical Vomiting, Tension-Type Headache, Dysphasia, Pica, Anorexia Nervosa, Bulimia Nervosa, Binge-Eating Disorder, Oppositional Defiant Disorder, Intermittent Explosive Disorder, Conduct Disorder, Antisocial Personality Disorder, Psychopathy, Pyromania, or Kleptomania."

From claim 112 "A method of treating a subject in need thereof, the method comprising administering to the subject a therapeutically-effective dose of psilocybin, wherein the subject has at least one of the following diseases, disorders, or conditions: Neurocognitive Disorders due to Alzheimer's, Lewy Bodies, Traumatic Brain Injury, Prion Disease, HIV Infection, Parkinson's, or Huntington's; concussion; chronic traumatic encephalopathy (CTE); Language Disorder, Speech Sound Disorder (Phonological Disorder); Childhood-Onset Fluency Disorder (Stuttering); Social (Pragmatic) Communication Disorder; Tourette's Disorder; Persistent (Chronic) Motor or Vocal Tic Disorder; Amnestic Disorder Due to Known Physiological Condition; Transient Cerebral Ischemic Attack, Cerebral Infarction, Cerebral Bleeding, Progressive Supranuclear Ophthalmoplegia, or Retrograde Amnesia."

4. The method of claim 3 wherein the psychedelic agent and NAC in various concentrations are formulated in a solid dosage form and administered to a patient orally.

9. WIKIPEDIA (2019) "Psilocybin" Retrieved from 28 December 2019. URL:

https://web.archive.org/web/20191228184209/https://en.wikipedia.org/wiki/Psilocybin

From page 5, Available Forms section "The psilocybin present in certain species of mushrooms can be ingested in several ways: by consuming fresh or dried fruit bodies, by preparing a herbal tea, or by combining with other foods to mask the bitter taste."

11. EROWID USER LifeIsIntense (2019) "I Was Slowly on the Crawl Out of Depression" Retrieved 19 July 2019. URL: <a href="https://web.archive.org/web/20190719201116/https://erowid.org/experiences/exp.php?ID=110595">https://erowid.org/web/20190719201116/https://erowid.org/experiences/exp.php?ID=110595</a>

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or Anxiolytic-Related Disorders, Stimulant-Related Disorders, Tobacco-Related Disorders, Non-Substance-Related Disorders (Gambling or Gaming Disorder), Migraines, Cluster Headaches such as Chronic Cluster Headaches, Cyclical Vomiting, Tension-Type Headache, Dysphasia, Pica, Anorexia Nervosa, Bulimia Nervosa, Binge-Eating Disorder, Oppositional Defiant Disorder, Intermittent Explosive Disorder, Conduct Disorder, Antisocial Personality Disorder, Psychopathy, Pyromania, or Kleptomania."

From claim 112 "A method of treating a subject in need thereof, the method comprising administering to the subject a therapeutically-effective dose of psilocybin, wherein the subject has at least one of the following diseases, disorders, or conditions: Neurocognitive Disorders due to Alzheimer's, Lewy Bodies, Traumatic Brain Injury, Prion Disease, HIV Infection, Parkinson's, or Huntington's; concussion; chronic traumatic encephalopathy (CTE); Language Disorder, Speech Sound Disorder (Phonological Disorder); Childhood-Onset Fluency Disorder (Stuttering); Social (Pragmatic) Communication Disorder; Tourette's Disorder; Persistent (Chronic) Motor or Vocal Tic Disorder; Amnestic Disorder Due to Known Physiological Condition; Transient Cerebral Ischemic Attack, Cerebral Infarction, Cerebral Bleeding, Progressive Supranuclear Ophthalmoplegia, or Retrograde Amnesia."

From page 7 paragraph 4 "In some embodiments the psilocybin is administered in an oral dosage form. In some embodiments the psilocybin is administered in a capsule. In some embodiments the psilocybin is administered in a tablet."

3. HOFFER (2013) "Amelioration of Acute Sequelae of Blast Induced Mild Traumatic Brain Injury by N-Acetyl Cysteine: A Double-Blind, Placebo Controlled Study" *PLoS One*. Vol. 8(1): e54163.

From Abstract "Secondary analysis revealed subjects receiving NAC within 24 hours of blast had an 86% chance of symptom resolution with no reported side effects versus 42% for those seen early who received placebo."

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From **page 5** "This is the first prospective, double-blinded, placebocontrolled randomized study to focus on the acute treatment of combat blastrelated mTBI in a forward war zone. Supplementation of standard therapy with oral NAC had a significant impact on neuropsychological test results, number of mTBI symptoms, and complete symptom resolution by day seven of treatment when compared to placebo."

5. The method of claim 3 wherein the psychedelic agent and **NAC** in various concentrations are formulated as a solution or a suspension with one or more excipients in a nonpressurized dispenser or dispensers and delivered to a patient as a nasal spray containing a metered dose of each ingredient.

4. Intl. Pat. Doc. No. WO2020212952 "TREATMENT OF DEPRESSION AND OTHER VARIOUS DISORDERS WITH PSILOCYBIN" (Published 22 October 2020)

From claim 111 "A method of treating a subject in need thereof, the method comprising administering to the subject a therapeuticallyeffective dose of psilocybin, wherein the subject has at least one of the following diseases, disorders, or conditions: Disruptive Mood Dysregulation Disorder, Major Depressive Disorder (MDD), Treatment Resistant Depression, Persistent Depressive Disorder (Dysthymia), Premenstrual Dysphoric Disorder, Substance/Medication-Induced Depressive Disorder, Post-Partum depression, or Depressive Disorder due to Another Medical Condition, Separation Anxiety Disorder, Selective Mutism, Specific Phobia, Social Anxiety Disorder (Social Phobia), Panic Disorder, Panic Attack, Agoraphobia, Generalized Anxiety Disorder, Substance-Medication-Induced Anxiety Disorder, Anxiety Disorder Due to Another Medical Condition, Somatic Symptom Disorder, Illness Anxiety Disorder (hypochondriac), Conversion Disorder (Functional Neurological Symptom Disorder), Factitious Disorder, Post-Traumatic Stress Disorder (PTSD), Adjustment Disorders, Acute Distress Disorder, Obsessive-Compulsive Disorder, Body Dysmorphic Disorder, Hoarding Disorder, Trichotillomania (Hair-Pulling) Disorder, Excoriation (Skin-Picking) Disorder, Substance/Medication-Induced Obsessive-Compulsive and Related Disorder, Obsessive-Compulsive and Related Disorder due to Another Medical Condition, Substance-Related Disorders, Alcohol-Related Disorders, Cannabis-Related Disorders, Hallucinogen-Related Disorders, Inhalant-Related Disorders, Cocaine-Related Disorders, Opioid-Related Disorders, Sedative-, Hypnotic-, or Anxiolytic-Related Disorders, Stimulant-Related Disorders, Tobacco-Related Disorders, Non-Substance-Related Disorders (Gambling or Gaming Disorder), Migraines, Cluster Headaches such as Chronic Cluster Headaches, Cyclical Vomiting, Tension-Type Headache, Dysphasia, Pica, Anorexia Nervosa, Bulimia Nervosa, Binge-Eating Disorder, Oppositional Defiant Disorder, Intermittent Explosive Disorder, Conduct Disorder, Antisocial Personality Disorder, Psychopathy, Pyromania, or Kleptomania."

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Social (Pragmatic) Communication Disorder; Tourette's Disorder; Persistent (Chronic) Motor or Vocal Tic Disorder; Amnestic Disorder Due to Known Physiological Condition; Transient Cerebral Ischemic Attack, Cerebral Infarction, Cerebral Bleeding, Progressive Supranuclear Ophthalmoplegia, or Retrograde Amnesia."

From page 45 paragraph 4 "Exemplary modes for administration of psilocybin include oral, parenteral (e.g., intravenous, subcutaneous, intradermal, intramuscular [including administration to skeletal, diaphragm and/or cardiac muscle], intradermal, intrapleural, intracerebral, and intra-articular), topical (e.g., to both skin and mucosal surfaces, including airway surfaces, and transdermal administration), inhalation (e.g., via an aerosol), rectal (e.g., via a suppository), transmucosal, intranasal, buccal (e.g., sublingual), vaginal, intrathecal, intraocular, transdermal, in utero (or in ovo), intralymphatic, and direct tissue or organ injection (e.g., to liver, skeletal muscle, cardiac muscle, diaphragm muscle or brain). In some embodiments, psilocybin is administered orally to the subject."

5. CISION (2020) "ATAI Life Sciences Partners with Neuronasal Inc. to Develop Novel Treatment for mild Traumatic Brain Injury (mTBI)" Published 6 January 2020. URL:

https://web.archive.org/web/20200303215829/https://www.prnewswire.com/news-releases/atai-life-sciences-partners-with-neuronasal-inc-to-develop-novel-treatment-for-mild-traumatic-brain-injury-mtbi-300981591.html

From page 2 "By contrast, Neuronasal's intranasal approach enables direct nose-to-brain delivery, allowing for significantly lower doses and outpatient treatment. Given its apparent efficacy in disrupting the underlying neurochemical cascade, intranasal NAC has the potential to induce a fundamental shift in the natural course of the condition for hundreds of thousands of people."

- 6. The method of claim 3 wherein the composition is administered to prevent pathological conversion of STM to LTM and promote disengagement of pathological LTM by a chemical agonist/antagonist shock.
- 10. RAMBOUSEK (2014) "The Effect of Psilocin on Memory Acquisition, Retrieval, and Consolidation in the Rat" Frontiers in Behavioral Neuroscience. Vol 8: pages 1-7

From page 4 "Our findings indicate that psilocin affects learning and memory acquisition necessary for spatial navigation and that it also has a disruptive effect on memory retrieval in MWM."

- 7. The method of claim 1 wherein the
- 11. EROWID USER LifeIsIntense (2019) "I Was Slowly on the Crawl Out of Depression" Retrieved 19 July 2019. URL:

psychedelic agent is
administered before
NAC

https://web.archive.org/web/20190719201116/https://erowid.org/experiences/exp.php?ID=110595

From page 1 "Normally I consume between 1 and 3.5 grams dried mushrooms. I scaled back this time to half a gram, just to be safe and see."

From page 1 "Medication/Supplements: I take no lithium or anti-psychotics for my bipolar. I have decided that medication is not for me after thorough research. I do take N-ACETYL CYSTEINE supplements, ashwaganda supplements, omega-3 supplements, B12 supplements, and folic acid. All these supplements are taken daily."

From page 1 "Mental Effects: Noticeable at about the half hour mark. Change in thought process first. Flight of thoughts, beautiful but unable to hold on to, quite like the flight of thoughts in mania, but less frustrating. The thought of motion is discretized. A general feeling of self-acceptance. I am dealing with a good deal of PTSD; lately I have loathed myself for failing to see an abusive person in my life for what they were. The mushrooms facilitated a self-acceptance process. The denial was a survival strategy. I was surviving. I need for forgive myself I decided."

# 8. The method of claim 1 wherein the psychedelic agent is administered after NAC.

11. EROWID USER LifeIsIntense (2019) "I Was Slowly on the Crawl Out of Depression" Retrieved 19 July 2019. URL:

https://web.archive.org/web/20190719201116/https://erowid.org/experiences/exp.php?ID=110595

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From **page 1** "Medication/Supplements: I take no lithium or anti-psychotics for my bipolar. I have decided that medication is not for me after thorough research. I **do take N-ACETYL CYSTEINE supplements**, ashwaganda supplements, omega-3 supplements, B12 supplements, and folic acid. **All these supplements are taken daily**."

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# 9. The method of claim 1 wherein the psychedelic agent is

11. EROWID USER LifeIsIntense (2019) "I Was Slowly on the Crawl Out of Depression" Retrieved 19 July 2019. URL:

mescaline, lysergic acid diethylamide (LSD), psilocybin or a psilocybin-derived agent, or N,N-Dimethyltryptamine (DMT).

https://web.archive.org/web/20190719201116/https://erowid.org/experiences/exp.php?ID=110595

From page 1 "Normally I consume between 1 and 3.5 grams dried mushrooms. I scaled back this time to half a gram, just to be safe and see."

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13. WIKIPEDIA (2018) "Psilocybe azurescens" Retrieved from January 2017. URL:

https://web.archive.org/web/20170131223629/https://en.wikipedia.org/wiki/Psilocybe azurescens

### From page 2

### Effects [edit]

See also: Psilocybin § Effects

### Alkaloid concentration of fresh Psilocybin Mushrooms[5]

Name \$	Psilocybin [% of weight]	Psilocin [% of weight]	Baeocystin [% of weight]	Total [% of weight]
Psilocybe azurescens	1.78	0.38	0.35	2.51
Psilocybe cubensis	0.63	0.60	0.025	1.26

14. BAUER (2019) "What Are Psilocybin Derivatives?" Retrieved from 27 July 2019. URL:

https://web.archive.org/web/20190727145651/https://psychedelicreview.com/what-are-psilocybin-derivatives/

### From page 2

"Other examples of psilocybin derivatives include:

- Psilocin (sometimes spelled psilocyn)
- [3-(2-trimethylaminoethyl)-1H-indol-4-yl] dihydrogen phosphate (aka Aeruginascin)
- 4-hydroxy-N,N,N-trimethyltryptamine
- Baeocystin (aka [3-(2-methylaminoethyl)-1H-indol-4-yl] dihydrogen phosphate)
- 4-hydroxy-N-methyltryptamine
- Norbaeocystin (aka [3-(aminoethyl)-1H-indol-4-yl] dihydrogen phosphate), and
- 4-hydroxytryptamine (serotonin)"

4. Intl. Pat. Doc. No. WO2020212952 "TREATMENT OF DEPRESSION AND OTHER VARIOUS DISORDERS WITH PSILOCYBIN" (Published 22 October 2020)

From claim 111 "A method of treating a subject in need thereof, the method comprising administering to the subject a therapeuticallyeffective dose of psilocybin, wherein the subject has at least one of the following diseases, disorders, or conditions: Disruptive Mood Dysregulation Disorder, Major Depressive Disorder (MDD), Treatment Resistant Depression, Persistent Depressive Disorder (Dysthymia), Premenstrual Dysphoric Disorder, Substance/Medication-Induced Depressive Disorder, Post-Partum depression, or Depressive Disorder due to Another Medical Condition, Separation Anxiety Disorder, Selective Mutism, Specific Phobia. Social Anxiety Disorder (Social Phobia), Panic Disorder, Panic Attack, Agoraphobia, Generalized Anxiety Disorder, Substance-Medication-Induced Anxiety Disorder, Anxiety Disorder Due to Another Medical Condition, Somatic Symptom Disorder, Illness Anxiety Disorder (hypochondriac), Conversion Disorder (Functional Neurological Symptom Disorder), Factitious Disorder, Post-Traumatic Stress Disorder (PTSD), Adjustment Disorders, Acute Distress Disorder, Obsessive-Compulsive Disorder, Body Dysmorphic Disorder, Hoarding Disorder, Trichotillomania (Hair-Pulling) Disorder, Excoriation (Skin-Picking) Disorder, Substance/Medication-Induced Obsessive-Compulsive and Related Disorder, Obsessive-Compulsive and Related Disorder due to Another Medical Condition, Substance-Related Disorders, Alcohol-Related Disorders, Cannabis-Related Disorders, Hallucinogen-Related Disorders, Inhalant-Related Disorders, Cocaine-Related Disorders, Opioid-Related Disorders, Sedative-, Hypnotic-, or Anxiolytic-Related Disorders, Stimulant-Related Disorders, Tobacco-Related Disorders, Non-Substance-Related Disorders (Gambling or Gaming Disorder), Migraines, Cluster Headaches such as Chronic Cluster Headaches, Cyclical Vomiting, Tension-Type Headache, Dysphasia, Pica, Anorexia Nervosa, Bulimia Nervosa, Binge-Eating Disorder, Oppositional Defiant Disorder, Intermittent Explosive Disorder, Conduct Disorder, Antisocial Personality Disorder, Psychopathy, Pyromania, or Kleptomania."

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# 10. The method of 8 claim 1 wherein the psychedelic agent is psilocybin or a psilocybin-derived agent.

11. EROWID USER LifeIsIntense (2019) "I Was Slowly on the Crawl Out of Depression" Retrieved 19 July 2019. URL:

 $\frac{https://web.archive.org/web/20190719201116/https://erowid.org/experiences/exp.php?ID=110595}{/exp.php?ID=110595}$ 

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From page 2

### Effects [edit]

See also: Psilocybin § Effects

Alkaloid concentration of fresh Psilocybin Mushrooms<sup>[5]</sup>

Name +	Psilocybin [% of weight] \$	Psilocin [% of weight] \$	Baeocystin [% of weight]	Total [% of weight]
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- Psilocin (sometimes spelled psilocyn)
- [3-(2-trimethylaminoethyl)-1H-indol-4-yl] dihydrogen phosphate (aka Aeruginascin)
- 4-hydroxy-N,N,N-trimethyltryptamine
- Baeocystin (aka [3-(2-methylaminoethyl)-1H-indol-4-yl] dihydrogen phosphate)
- 4-hydroxy-N-methyltryptamine
- Norbaeocystin (aka [3-(aminoethyl)-1H-indol-4-yl] dihydrogen phosphate), and
- 4-hydroxytryptamine (serotonin)"

4. Intl. Pat. Doc. No. WO2020212952 "TREATMENT OF DEPRESSION AND OTHER VARIOUS DISORDERS WITH PSILOCYBIN" (Published 22 October 2020)

From claim 111 "A method of treating a subject in need thereof, the method comprising administering to the subject a therapeutically-effective dose of psilocybin, wherein the subject has at least one of the following diseases, disorders, or conditions: Disruptive Mood Dysregulation Disorder, Major Depressive Disorder (MDD), Treatment Resistant Depression, Persistent Depressive Disorder (Dysthymia), Premenstrual Dysphoric Disorder, Substance/Medication-Induced Depressive Disorder, Post-Partum depression, or Depressive Disorder due to Another Medical Condition, Separation Anxiety Disorder, Selective Mutism, Specific Phobia, Social Anxiety Disorder (Social Phobia), Panic Disorder, Panic Attack, Agoraphobia, Generalized Anxiety Disorder, Substance-Medication-Induced

Anxiety Disorder, Anxiety Disorder Due to Another Medical Condition, Somatic Symptom Disorder, Illness Anxiety Disorder (hypochondriac), Conversion Disorder (Functional Neurological Symptom Disorder), Factitious Disorder, Post-Traumatic Stress Disorder (PTSD), Adjustment Disorders, Acute Distress Disorder, Obsessive-Compulsive Disorder, Body Dysmorphic Disorder, Hoarding Disorder, Trichotillomania (Hair-Pulling) Disorder, Excoriation (Skin-Picking) Disorder, Substance/Medication-Induced Obsessive-Compulsive and Related Disorder, Obsessive-Compulsive and Related Disorder due to Another Medical Condition, Substance-Related Disorders, Alcohol-Related Disorders, Cannabis-Related Disorders, Hallucinogen-Related Disorders, Inhalant-Related Disorders, Cocaine-Related Disorders, Opioid-Related Disorders, Sedative-, Hypnotic-, or Anxiolytic-Related Disorders, Stimulant-Related Disorders, Tobacco-Related Disorders, Non-Substance-Related Disorders (Gambling or Gaming Disorder), Migraines, Cluster Headaches such as Chronic Cluster Headaches, Cyclical Vomiting, Tension-Type Headache, Dysphasia, Pica, Anorexia Nervosa, Bulimia Nervosa, Binge-Eating Disorder, Oppositional Defiant Disorder, Intermittent Explosive Disorder, Conduct Disorder, Antisocial Personality Disorder, Psychopathy, Pyromania, or Kleptomania."

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11. The method of claim 1 further comprising imprint pairing one or more symptoms of mTBI, PTSD or mTBI with PTSD in the subject with an odor and eliminating the subject's ability to smell the odor.

12. BIRD (2020) "Smell changes memory processing and could treat trauma" Medical News Today. URL:

https://www.medicalnewstoday.com/articles/smell-changes-memory-processing-and-could-treat-trauma#1

From Title "Smell changes memory processing and could treat trauma"

From page 1 "Research in mice suggests that smell helps consolidate memories and may help people overcome trauma."

From page 4 "Beyond the academic interest, these findings could pave the way for new tools to treat mental health conditions caused by traumatic memories, namely PTSD."

From **page 5** "Most current approaches to treat PTSD try to suppress or dampen the traumatic memory, but — crucially — this only works when a person can recall the memory first. Using smells to spark memories could, therefore, be a significant pathway to treatment."

1. EAKIN (2014) "Efficacy of N-Acetyl Cysteine in Traumatic Brain Injury" *PLoS One.* Vol. 9(4): e90617.

From **Abstract** "In this study, using two different injury models in two different species, we found that early **post-injury treatment with N-Acetyl Cysteine (NAC) reversed the behavioral deficits associated with the TBL."** 

2. BACK (2017) "A Double-Blind Randomized Controlled Pilot Trial of N-Acetylcysteine in Veterans with PTSD and Substance Use Disorders" *Journal of Clinical Psychiatry*. Vo. 77(11): e1439–e1446.

From Abstract "Participants treated with NAC, as compared to placebo, evidenced significant improvements in PTSD symptoms, craving, and depression."

3. HOFFER (2013) "Amelioration of Acute Sequelae of Blast Induced Mild Traumatic Brain Injury by N-Acetyl Cysteine: A Double-Blind, Placebo Controlled Study" *PLoS One*. Vol. 8(1): e54163.

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12. A pharmaceutical composition comprising a psychedelic agent and N-acetylcysteine (NAC) and one or more excipients.

11. EROWID USER LifeIsIntense (2019) "I Was Slowly on the Crawl Out of Depression" Retrieved 19 July 2019. URL: <a href="https://web.archive.org/web/20190719201116/https://erowid.org/experiences/exp.php?ID=110595">https://erowid.org/experiences/exp.php?ID=110595</a>

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Infarction, Cerebral Bleeding, Progressive Supranuclear Ophthalmoplegia, or Retrograde Amnesia."

From page 38, paragraph 2 "In some embodiments, the disclosure provides a pharmaceutical composition comprising crystalline psilocybin and one or more pharmaceutically acceptable carriers or excipients."

# 13. The pharmaceutical composition of claim 12 formulated in a solid dosage form and administered to a patient orally or in a nasal spray.

11. EROWID USER LifeIsIntense (2019) "I Was Slowly on the Crawl Out of Depression" Retrieved 19 July 2019. URL: <a href="https://web.archive.org/web/20190719201116/https://erowid.org/experiences/exp.php?ID=110595">https://erowid.org/experiences/exp.php?ID=110595</a>

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9. WIKIPEDIA (2019) "Psilocybin" Retrieved from 28 December 2019.

https://web.archive.org/web/20191228184209/https://en.wikipedia.org/wiki/Psilocybin

From page 5, Available Forms section "The psilocybin present in certain species of mushrooms can be ingested in several ways: by consuming fresh or dried fruit bodies, by preparing a herbal tea, or by combining with other foods to mask the bitter taste."

3. HOFFER (2013) "Amelioration of Acute Sequelae of Blast Induced Mild Traumatic Brain Injury by N-Acetyl Cysteine: A Double-Blind, Placebo Controlled Study" *PLoS One*. Vol. 8(1): e54163.

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From page 5 "This is the first prospective, double-blinded, placebocontrolled randomized study to focus on the acute treatment of combat blastrelated mTBI in a forward war zone. Supplementation of standard therapy with oral NAC had a significant impact on neuropsychological test results, number of mTBI symptoms, and complete symptom resolution by day seven of treatment when compared to placebo."

4. Intl. Pat. Doc. No. WO2020212952 "TREATMENT OF DEPRESSION AND OTHER VARIOUS DISORDERS WITH PSILOCYBIN" (Published 22 October 2020)

From claim 111 "A method of treating a subject in need thereof, the method comprising administering to the subject a therapeuticallyeffective dose of psilocybin, wherein the subject has at least one of the following diseases, disorders, or conditions: Disruptive Mood Dysregulation Disorder, Major Depressive Disorder (MDD), Treatment Resistant Depression, Persistent Depressive Disorder (Dysthymia), Premenstrual Dysphoric Disorder, Substance/Medication-Induced Depressive Disorder, Post-Partum depression, or Depressive Disorder due to Another Medical Condition, Separation Anxiety Disorder, Selective Mutism, Specific Phobia, Social Anxiety Disorder (Social Phobia), Panic Disorder, Panic Attack, Agoraphobia, Generalized Anxiety Disorder, Substance-Medication-Induced Anxiety Disorder, Anxiety Disorder Due to Another Medical Condition, Somatic Symptom Disorder, Illness Anxiety Disorder (hypochondriac), Conversion Disorder (Functional Neurological Symptom Disorder), Factitious Disorder, Post-Traumatic Stress Disorder (PTSD), Adjustment Disorders, Acute Distress Disorder, Obsessive-Compulsive Disorder, Body Dysmorphic Disorder, Hoarding Disorder, Trichotillomania (Hair-Pulling) Disorder, Excoriation (Skin-Picking) Disorder, Substance/Medication-Induced Obsessive-Compulsive and Related Disorder, Obsessive-Compulsive and Related Disorder due to Another Medical Condition, Substance-Related Disorders, Alcohol-Related Disorders, Cannabis-Related Disorders, Hallucinogen-Related Disorders, Inhalant-Related Disorders, Cocaine-Related Disorders, Opioid-Related Disorders, Sedative-, Hypnotic-,

or Anxiolytic-Related Disorders, Stimulant-Related Disorders, Tobacco-Related Disorders, Non-Substance-Related Disorders (Gambling or Gaming Disorder), Migraines, Cluster Headaches such as Chronic Cluster Headaches, Cyclical Vomiting, Tension-Type Headache, Dysphasia, Pica, Anorexia Nervosa, Bulimia Nervosa, Binge-Eating Disorder, Oppositional Defiant Disorder, Intermittent Explosive Disorder, Conduct Disorder, Antisocial Personality Disorder, Psychopathy, Pyromania, or Kleptomania."

From claim 112 "A method of treating a subject in need thereof, the method comprising administering to the subject a therapeuticallyeffective dose of psilocybin, wherein the subject has at least one of the following diseases, disorders, or conditions: Neurocognitive Disorders due to Alzheimer's, Lewy Bodies, Traumatic Brain Injury, Prion Disease, HIV Infection, Parkinson's, or Huntington's; concussion; chronic traumatic encephalopathy (CTE); Language Disorder, Speech Sound Disorder (Phonological Disorder); Childhood-Onset Fluency Disorder (Stuttering); Social (Pragmatic) Communication Disorder; Tourette's Disorder; Persistent (Chronic) Motor or Vocal Tic Disorder; Amnestic Disorder Due to Known Physiological Condition; Transient Cerebral Ischemic Attack, Cerebral Infarction, Cerebral Bleeding, Progressive Supranuclear Ophthalmoplegia, or Retrograde Amnesia."

From page 7 paragraph 4 "In some embodiments the psilocybin is administered in an oral dosage form. In some embodiments the psilocybin is administered in a capsule. In some embodiments the psilocybin is administered in a tablet."

### 14. Cancelled

- 15. Cancelled
- 16. Cancelled
- 17. Cancelled

### 18. Cancelled

19. A nasal mist transducer (NMT) for administration of one or more pharmaceutically active ingredients as fine mist particles at preselected dosages and times, said NMT comprising:

a nasal funnel capable of fitting into a

From paragraph [0062] of the application of interest, U.S. App. No. 17/916,855, "In one nonlimiting embodiment, the psychedelic agent and NAC are administered sequentially in a nasal spray or mist transducer (NMT) programmed time release administration."

5. CISION (2020) "ATAI Life Sciences Partners with Neuronasal Inc. to Develop Novel Treatment for mild Traumatic Brain Injury (mTBI)" Published 6 January 2020. URL:

https://web.archive.org/web/20200303215829/https://www.prnewswire.com/ news-releases/atai-life-sciences-partners-with-neuronasal-inc-to-developnovel-treatment-for-mild-traumatic-brain-injury-mtbi-300981591.html

vestibular anatomy of a human:

a mist generator with a top and bottom which produces a fine mist at the top which is propelled toward the nasal funnel;

a syringe loading apparatus capable of holding one or more micro syringes attached at the bottom of the mist generator;

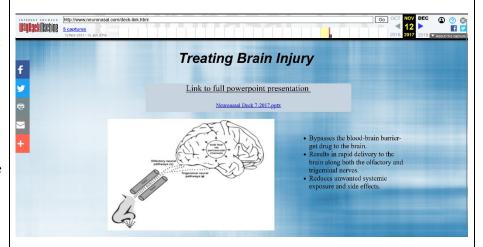
and

a means for applying pressure to a plunger of a microsyringe loaded into the syringe loading apparatus.

From page 2 "By contrast, Neuronasal's intranasal approach enables direct nose-to-brain delivery, allowing for significantly lower doses and outpatient treatment. Given its apparent efficacy in disrupting the underlying neurochemical cascade, intranasal NAC has the potential to induce a fundamental shift in the natural course of the condition for hundreds of thousands of people."

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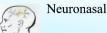
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7. NEURONASAL (2017) "Reduce acute brain injury by novel patented nose-to-brain drug delivery route" Retrieved from 12 November 2017. Linked presentation located in the URL:

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From slide 8



### Neuronasal approach – approved device



### Aptar UDS device

- •Used in Flonase (marketed drug).
- •FDA master file (access granted).
- •Manufacturing is well understood
- ·Patient use is well understood
- •Similar to device used in animal experiments.
- •Gets appropriately formulated drugs to upper third of nasal cavity for nose-to-brain delivery.

8

4. Intl. Pat. Doc. No. WO2020212952 "TREATMENT OF DEPRESSION AND OTHER VARIOUS DISORDERS WITH PSILOCYBIN" (Published 22 October 2020)

From claim 111 "A method of treating a subject in need thereof, the method comprising administering to the subject a therapeuticallyeffective dose of psilocybin, wherein the subject has at least one of the following diseases, disorders, or conditions: Disruptive Mood Dysregulation Disorder, Major Depressive Disorder (MDD), Treatment Resistant Depression, Persistent Depressive Disorder (Dysthymia), Premenstrual Dysphoric Disorder, Substance/Medication-Induced Depressive Disorder, Post-Partum depression, or Depressive Disorder due to Another Medical Condition, Separation Anxiety Disorder, Selective Mutism, Specific Phobia, Social Anxiety Disorder (Social Phobia), Panic Disorder, Panic Attack, Agoraphobia, Generalized Anxiety Disorder, Substance-Medication-Induced Anxiety Disorder, Anxiety Disorder Due to Another Medical Condition, Somatic Symptom Disorder, Illness Anxiety Disorder (hypochondriac), Conversion Disorder (Functional Neurological Symptom Disorder), Factitious Disorder, Post-Traumatic Stress Disorder (PTSD), Adjustment Disorders, Acute Distress Disorder, Obsessive-Compulsive Disorder, Body Dysmorphic Disorder, Hoarding Disorder, Trichotillomania (Hair-Pulling) Disorder, Excoriation (Skin-Picking) Disorder, Substance/Medication-Induced Obsessive-Compulsive and Related Disorder, Obsessive-Compulsive and Related Disorder due to Another Medical Condition, Substance-Related Disorders, Alcohol-Related Disorders, Cannabis-Related Disorders, Hallucinogen-Related Disorders, Inhalant-Related Disorders, Cocaine-Related Disorders, Opioid-Related Disorders, Sedative-, Hypnotic-, or Anxiolytic-Related Disorders, Stimulant-Related Disorders, Tobacco-Related Disorders, Non-Substance-Related Disorders (Gambling or Gaming Disorder), Migraines, Cluster Headaches such as Chronic Cluster Headaches, Cyclical Vomiting, Tension-Type Headache, Dysphasia, Pica, Anorexia Nervosa, Bulimia Nervosa, Binge-Eating Disorder, Oppositional

Defiant Disorder, Intermittent Explosive Disorder, Conduct Disorder, Antisocial Personality Disorder, Psychopathy, Pyromania, or Kleptomania."

From claim 112 "A method of treating a subject in need thereof, the method comprising administering to the subject a therapeutically-effective dose of psilocybin, wherein the subject has at least one of the following diseases, disorders, or conditions: Neurocognitive Disorders due to Alzheimer's, Lewy Bodies, Traumatic Brain Injury, Prion Disease, HIV Infection, Parkinson's, or Huntington's; concussion; chronic traumatic encephalopathy (CTE); Language Disorder, Speech Sound Disorder (Phonological Disorder); Childhood-Onset Fluency Disorder (Stuttering); Social (Pragmatic) Communication Disorder; Tourette's Disorder; Persistent (Chronic) Motor or Vocal Tic Disorder; Amnestic Disorder Due to Known Physiological Condition; Transient Cerebral Ischemic Attack, Cerebral Infarction, Cerebral Bleeding, Progressive Supranuclear Ophthalmoplegia, or Retrograde Amnesia."

From page 45 paragraph 4 "Exemplary modes for administration of psilocybin include oral, parenteral (e.g., intravenous, subcutaneous, intradermal, intramuscular [including administration to skeletal, diaphragm and/or cardiac muscle], intradermal, intrapleural, intracerebral, and intra-articular), topical (e.g., to both skin and mucosal surfaces, including airway surfaces, and transdermal administration), inhalation (e.g., via an aerosol), rectal (e.g., via a suppository), transmucosal, intranasal, buccal (e.g., sublingual), vaginal, intrathecal, intraocular, transdermal, in utero (or in ovo), intralymphatic, and direct tissue or organ injection (e.g., to liver, skeletal muscle, cardiac muscle, diaphragm muscle or brain). In some embodiments, psilocybin is administered orally to the subject."

- 20. Cancelled
- 21. Cancelled
- 22. Cancelled
- 23. Cancelled
- 24. The NMT device of claim 19 further comprising one or more preloaded micro syringes comprising selected dosages of one or more pharmaceutical ingredients positioned in the syringe loading apparatus.

From paragraph [0062] of the application of interest, U.S. App. No. 17/916,855, "In one nonlimiting embodiment, the psychedelic agent and NAC are administered sequentially in a **nasal spray or mist transducer** (NMT) programmed time release administration."

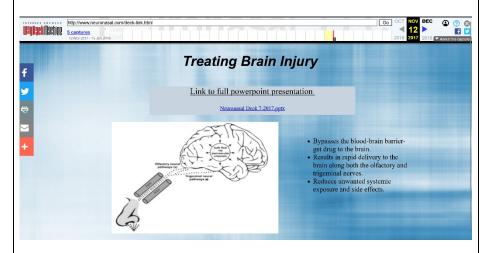
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From page 2 "By contrast, Neuronasal's intranasal approach enables direct nose-to-brain delivery, allowing for significantly lower doses and outpatient treatment. Given its apparent efficacy in disrupting the underlying neurochemical cascade, intranasal NAC has the potential to induce a fundamental shift in the natural course of the condition for hundreds of thousands of people."

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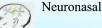


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From slide 8





### Neuronasal approach – approved device



### Aptar UDS device

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- ·Manufacturing is well understood
- ·Patient use is well understood
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4. Intl. Pat. Doc. No. WO2020212952 "TREATMENT OF DEPRESSION AND OTHER VARIOUS DISORDERS WITH PSILOCYBIN" (Published 22 October 2020)

From claim 111 "A method of treating a subject in need thereof, the method comprising administering to the subject a therapeuticallyeffective dose of psilocybin, wherein the subject has at least one of the following diseases, disorders, or conditions: Disruptive Mood Dysregulation Disorder, Major Depressive Disorder (MDD), Treatment Resistant Depression, Persistent Depressive Disorder (Dysthymia), Premenstrual Dysphoric Disorder, Substance/Medication-Induced Depressive Disorder, Post-Partum depression, or Depressive Disorder due to Another Medical Condition, Separation Anxiety Disorder, Selective Mutism, Specific Phobia, Social Anxiety Disorder (Social Phobia), Panic Disorder, Panic Attack, Agoraphobia, Generalized Anxiety Disorder, Substance-Medication-Induced Anxiety Disorder, Anxiety Disorder Due to Another Medical Condition, Somatic Symptom Disorder, Illness Anxiety Disorder (hypochondriac), Conversion Disorder (Functional Neurological Symptom Disorder), Factitious Disorder, Post-Traumatic Stress Disorder (PTSD), Adjustment Disorders, Acute Distress Disorder, Obsessive-Compulsive Disorder, Body Dysmorphic Disorder, Hoarding Disorder, Trichotillomania (Hair-Pulling) Disorder, Excoriation (Skin-Picking) Disorder, Substance/Medication-Induced Obsessive-Compulsive and Related Disorder, Obsessive-Compulsive and Related Disorder due to Another Medical Condition, Substance-Related Disorders, Alcohol-Related Disorders, Cannabis-Related Disorders, Hallucinogen-Related Disorders, Inhalant-Related Disorders, Cocaine-Related Disorders, Opioid-Related Disorders, Sedative-, Hypnotic-, or Anxiolytic-Related Disorders, Stimulant-Related Disorders, Tobacco-Related Disorders, Non-Substance-Related Disorders (Gambling or Gaming Disorder), Migraines, Cluster Headaches such as Chronic Cluster Headaches, Cyclical Vomiting, Tension-Type Headache, Dysphasia, Pica, Anorexia Nervosa, Bulimia Nervosa, Binge-Eating Disorder, Oppositional

Defiant Disorder, Intermittent Explosive Disorder, Conduct Disorder, Antisocial Personality Disorder, Psychopathy, Pyromania, or Kleptomania."

From claim 112 "A method of treating a subject in need thereof, the method comprising administering to the subject a therapeutically-effective dose of psilocybin, wherein the subject has at least one of the following diseases, disorders, or conditions: Neurocognitive Disorders due to Alzheimer's, Lewy Bodies, Traumatic Brain Injury, Prion Disease, HIV Infection, Parkinson's, or Huntington's; concussion; chronic traumatic encephalopathy (CTE); Language Disorder, Speech Sound Disorder (Phonological Disorder); Childhood-Onset Fluency Disorder (Stuttering); Social (Pragmatic) Communication Disorder; Tourette's Disorder; Persistent (Chronic) Motor or Vocal Tic Disorder; Amnestic Disorder Due to Known Physiological Condition; Transient Cerebral Ischemic Attack, Cerebral Infarction, Cerebral Bleeding, Progressive Supranuclear Ophthalmoplegia, or Retrograde Amnesia."

From page 45 paragraph 4 "Exemplary modes for administration of psilocybin include oral, parenteral (e.g., intravenous, subcutaneous, intradermal, intramuscular [including administration to skeletal, diaphragm and/or cardiac muscle], intradermal, intrapleural, intracerebral, and intraarticular), topical (e.g., to both skin and mucosal surfaces, including airway surfaces, and transdermal administration), inhalation (e.g., via an aerosol), rectal (e.g., via a suppository), transmucosal, intranasal, buccal (e.g., sublingual), vaginal, intrathecal, intraocular, transdermal, in utero (or in ovo), intralymphatic, and direct tissue or organ injection (e.g., to liver, skeletal muscle, cardiac muscle, diaphragm muscle or brain). In some embodiments, psilocybin is administered orally to the subject."

# 25. The NMT device of claim 24 wherein the preloaded micro syringes comprising a psychedelic agent and NAC

From paragraph [0062] of the application of interest, U.S. App. No. 17/916,855, "In one nonlimiting embodiment, the psychedelic agent and NAC are administered sequentially in a **nasal spray or mist transducer** (NMT) programmed time release administration."

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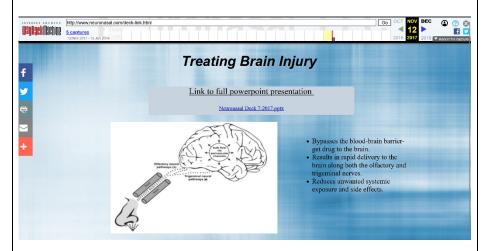
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From page 2 "By contrast, Neuronasal's intranasal approach enables direct nose-to-brain delivery, allowing for significantly lower doses and outpatient treatment. Given its apparent efficacy in disrupting the underlying neurochemical cascade, intranasal NAC has the potential to induce a

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### From slide 8



### Neuronasal

### Neuronasal approach – approved device

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- •Patient use is well understood
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- •Gets appropriately formulated drugs to upper third of nasal cavity for nose-to-brain delivery.

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From claim 112 "A method of treating a subject in need thereof, the method comprising administering to the subject a therapeutically-effective dose of psilocybin, wherein the subject has at least one of the following diseases, disorders, or conditions: Neurocognitive Disorders due to Alzheimer's, Lewy Bodies, Traumatic Brain Injury, Prion Disease, HIV Infection, Parkinson's, or Huntington's; concussion; chronic traumatic encephalopathy (CTE); Language Disorder, Speech Sound Disorder (Phonological Disorder); Childhood-Onset Fluency Disorder (Stuttering); Social (Pragmatic) Communication Disorder; Tourette's Disorder; Persistent (Chronic) Motor or Vocal Tic Disorder; Amnestic Disorder Due to Known Physiological Condition; Transient Cerebral Ischemic Attack, Cerebral

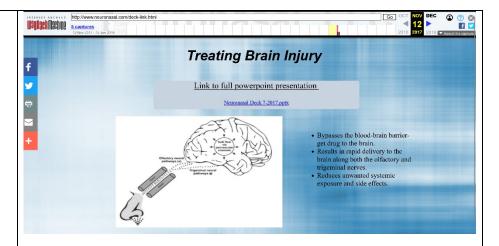
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### From slide 8



### Neuronasal

# Neuronasal approach - approved device



### Aptar UDS device

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Post-Partum depression, or Depressive Disorder due to Another Medical Condition, Separation Anxiety Disorder, Selective Mutism, Specific Phobia, Social Anxiety Disorder (Social Phobia), Panic Disorder, Panic Attack, Agoraphobia, Generalized Anxiety Disorder, Substance-Medication-Induced Anxiety Disorder, Anxiety Disorder Due to Another Medical Condition, Somatic Symptom Disorder, Illness Anxiety Disorder (hypochondriac), Conversion Disorder (Functional Neurological Symptom Disorder). Factitious Disorder, Post-Traumatic Stress Disorder (PTSD), Adjustment Disorders, Acute Distress Disorder, Obsessive-Compulsive Disorder, Body Dysmorphic Disorder, Hoarding Disorder, Trichotillomania (Hair-Pulling) Disorder, Excoriation (Skin-Picking) Disorder, Substance/Medication-Induced Obsessive-Compulsive and Related Disorder, Obsessive-Compulsive and Related Disorder due to Another Medical Condition, Substance-Related Disorders, Alcohol-Related Disorders, Cannabis-Related Disorders, Hallucinogen-Related Disorders, Inhalant-Related Disorders, Cocaine-Related Disorders, Opioid-Related Disorders, Sedative-, Hypnotic-, or Anxiolytic-Related Disorders, Stimulant-Related Disorders, Tobacco-Related Disorders, Non-Substance-Related Disorders (Gambling or Gaming Disorder), Migraines, Cluster Headaches such as Chronic Cluster Headaches, Cyclical Vomiting, Tension-Type Headache, Dysphasia, Pica, Anorexia Nervosa, Bulimia Nervosa, Binge-Eating Disorder, Oppositional Defiant Disorder, Intermittent Explosive Disorder, Conduct Disorder, Antisocial Personality Disorder, Psychopathy, Pyromania, or Kleptomania."

From claim 112 "A method of treating a subject in need thereof, the method comprising administering to the subject a therapeutically-effective dose of psilocybin, wherein the subject has at least one of the following diseases, disorders, or conditions: Neurocognitive Disorders due to Alzheimer's, Lewy Bodies, Traumatic Brain Injury, Prion Disease, HIV Infection, Parkinson's, or Huntington's; concussion; chronic traumatic encephalopathy (CTE); Language Disorder, Speech Sound Disorder (Phonological Disorder); Childhood-Onset Fluency Disorder (Stuttering); Social (Pragmatic) Communication Disorder; Tourette's Disorder; Persistent (Chronic) Motor or Vocal Tic Disorder; Amnestic Disorder Due to Known Physiological Condition; Transient Cerebral Ischemic Attack, Cerebral Infarction, Cerebral Bleeding, Progressive Supranuclear Ophthalmoplegia, or Retrograde Amnesia."

From page 45 paragraph 4 "Exemplary modes for administration of psilocybin include oral, parenteral (e.g., intravenous, subcutaneous, intradermal, intramuscular [including administration to skeletal, diaphragm and/or cardiac muscle], intradermal, intrapleural, intracerebral, and intraarticular), topical (e.g., to both skin and mucosal surfaces, including airway surfaces, and transdermal administration), inhalation (e.g., via an aerosol), rectal (e.g., via a suppository), transmucosal, intranasal, buccal (e.g., sublingual), vaginal, intrathecal, intraocular, transdermal, in utero (or in ovo), intralymphatic, and direct tissue or organ injection (e.g., to liver,

skeletal muscle, cardiac muscle, diaphragm muscle or brain). In some embodiments, psilocybin is administered orally to the subject."

30. A method for treating or alleviating symptoms associated with mTBI, PTSD or mTBI with PTSD, said method comprising administering to a subject suffering from mTBI, PTSD or mTBI with PTSD a psychedelic agent and NAC via the NMT of claim 25.

From paragraph [0062] of the application of interest, U.S. App. No. 17/916,855, "In one nonlimiting embodiment, the psychedelic agent and NAC are administered sequentially in a **nasal spray or mist transducer** (NMT) programmed time release administration."

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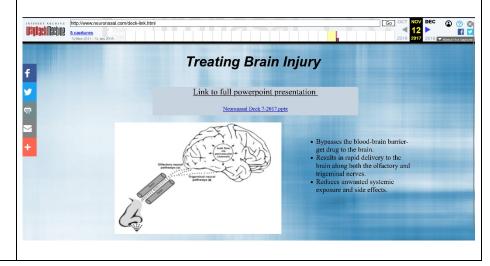
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#### Neuronasal

# Neuronasal approach – approved device



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- •Manufacturing is well understood
- •Patient use is well understood
- •Similar to device used in animal experiments.
- •Gets appropriately formulated drugs to upper third of nasal cavity for nose-to-brain delivery.

8

4. Intl. Pat. Doc. No. WO2020212952 "TREATMENT OF DEPRESSION AND OTHER VARIOUS DISORDERS WITH PSILOCYBIN" (Published 22 October 2020)

From claim 111 "A method of treating a subject in need thereof, the method comprising administering to the subject a therapeuticallyeffective dose of psilocybin, wherein the subject has at least one of the following diseases, disorders, or conditions: Disruptive Mood Dysregulation Disorder, Major Depressive Disorder (MDD), Treatment Resistant Depression, Persistent Depressive Disorder (Dysthymia), Premenstrual Dysphoric Disorder, Substance/Medication-Induced Depressive Disorder, Post-Partum depression, or Depressive Disorder due to Another Medical Condition, Separation Anxiety Disorder, Selective Mutism, Specific Phobia, Social Anxiety Disorder (Social Phobia), Panic Disorder, Panic Attack, Agoraphobia, Generalized Anxiety Disorder, Substance-Medication-Induced Anxiety Disorder, Anxiety Disorder Due to Another Medical Condition, Somatic Symptom Disorder, Illness Anxiety Disorder (hypochondriac), Conversion Disorder (Functional Neurological Symptom Disorder), Factitious Disorder, Post-Traumatic Stress Disorder (PTSD), Adjustment Disorders, Acute Distress Disorder, Obsessive-Compulsive Disorder, Body Dysmorphic Disorder, Hoarding Disorder, Trichotillomania (Hair-Pulling) Disorder, Excoriation (Skin-Picking) Disorder, Substance/Medication-Induced Obsessive-Compulsive and Related Disorder, Obsessive-Compulsive and Related Disorder due to Another Medical Condition, Substance-Related Disorders, Alcohol-Related Disorders, Cannabis-Related

Disorders, Hallucinogen-Related Disorders, Inhalant-Related Disorders, Cocaine-Related Disorders, Opioid-Related Disorders, Sedative-, Hypnotic-, or Anxiolytic-Related Disorders, Stimulant-Related Disorders, Tobacco-Related Disorders, Non-Substance-Related Disorders (Gambling or Gaming Disorder), Migraines, Cluster Headaches such as Chronic Cluster Headaches, Cyclical Vomiting, Tension-Type Headache, Dysphasia, Pica, Anorexia Nervosa, Bulimia Nervosa, Binge-Eating Disorder, Oppositional Defiant Disorder, Intermittent Explosive Disorder, Conduct Disorder, Antisocial Personality Disorder, Psychopathy, Pyromania, or Kleptomania."

From claim 112 "A method of treating a subject in need thereof, the method comprising administering to the subject a therapeutically-effective dose of psilocybin, wherein the subject has at least one of the following diseases, disorders, or conditions: Neurocognitive Disorders due to Alzheimer's, Lewy Bodies, Traumatic Brain Injury, Prion Disease, HIV Infection, Parkinson's, or Huntington's; concussion; chronic traumatic encephalopathy (CTE); Language Disorder, Speech Sound Disorder (Phonological Disorder); Childhood-Onset Fluency Disorder (Stuttering); Social (Pragmatic) Communication Disorder; Tourette's Disorder; Persistent (Chronic) Motor or Vocal Tic Disorder; Amnestic Disorder Due to Known Physiological Condition; Transient Cerebral Ischemic Attack, Cerebral Infarction, Cerebral Bleeding, Progressive Supranuclear Ophthalmoplegia, or Retrograde Amnesia."

From page 45 paragraph 4 "Exemplary modes for administration of psilocybin include oral, parenteral (e.g., intravenous, subcutaneous, intradermal, intramuscular [including administration to skeletal, diaphragm and/or cardiac muscle], intradermal, intrapleural, intracerebral, and intraarticular), topical (e.g., to both skin and mucosal surfaces, including airway surfaces, and transdermal administration), inhalation (e.g., via an aerosol), rectal (e.g., via a suppository), transmucosal, intranasal, buccal (e.g., sublingual), vaginal, intrathecal, intraocular, transdermal, in utero (or in ovo), intralymphatic, and direct tissue or organ injection (e.g., to liver, skeletal muscle, cardiac muscle, diaphragm muscle or brain). In some embodiments, psilocybin is administered orally to the subject."

11. EROWID USER LifeIsIntense (2019) "I Was Slowly on the Crawl Out of Depression" Retrieved 19 July 2019. URL: <a href="https://web.archive.org/web/20190719201116/https://erowid.org/experiences/exp.php?ID=110595">https://web.archive.org/web/20190719201116/https://erowid.org/experiences/exp.php?ID=110595</a>

From page 1 "Normally I consume between 1 and 3.5 grams dried mushrooms. I scaled back this time to half a gram, just to be safe and see."

From **page 1** "Medication/Supplements: I take no lithium or anti-psychotics for my bipolar. I have decided that medication is not for me after thorough

research. I do take N-ACETYL CYSTEINE supplements, ashwaganda supplements, omega-3 supplements, B12 supplements, and folic acid. All these supplements are taken daily."

From page 1 "Mental Effects: Noticeable at about the half hour mark. Change in thought process first. Flight of thoughts, beautiful but unable to hold on to, quite like the flight of thoughts in mania, but less frustrating. The thought of motion is discretized. A general feeling of self-acceptance. I am dealing with a good deal of PTSD; lately I have loathed myself for failing to see an abusive person in my life for what they were. The mushrooms facilitated a self-acceptance process. The denial was a survival strategy. I was surviving. I need for forgive myself I decided."

Electronic Acknowledgement Receipt			
EFS ID:	48370775		
Application Number:	17916855		
International Application Number:			
Confirmation Number:	6545		
Title of Invention:	Methods, Compositions and Devices for Treating Mild Traumatic Brain Injury, Post Traumatic Stress Disorder and Mild Traumatic Brain Injury with Post Traumatic Stress Disorder		
First Named Inventor/Applicant Name:	Maghsoud DARIANI		
Customer Number:	26259		
Filer:	Shahin Shams		
Filer Authorized By:			
Attorney Docket Number:	LOB0002US.NP		
Receipt Date:	31-JUL-2023		
Filing Date:	04-OCT-2022		
Time Stamp:	12:35:08		
Application Type:			

# **Payment information:**

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$72
RAM confirmation Number	E20237UC35067901
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Eila Listin					
File Listing  Document  Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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Warnings:		1			
Information:					
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Warnings:			'		
Information:					
			23720		1
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Warnings:				-	
Information:					
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Warnings:					
Information:					
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Warnings:		<u> </u>			
Information:					
			322520		
6	Evidence of Publication	2-BACK.pdf	6af62d80110e85e0676e451d1d20eec9335 56398	no no	6
Warnings:		·			
Information:					

			585020	no	10
7	Evidence of Publication	3-HOFFER.pdf	9100ffa36aadfd9f38659d409efdabbcfd786 21e		
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Information:					
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Warnings:		+	'		
Information:					
			128246		
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Warnings:		•	•		
Information:					
			166322		
10	10 Evidence of Publication 6-NEURONASAL.pdf	6-NEURONASAL.pdf	2774cd64c2ad6e536e8754fae73d6b907dd cd19d	no	1
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Information:					
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Information:					
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Information:					
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13	Evidence of Publication	8-MayoClinic.pdf	9f4163ce964f6494a1def013d5dc9a760f2c9	no	6
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Warnings:			zab		

			2389963		
14	Evidence of Publication	9-PsilocybinWikipedia.pdf	65a6256fe037e1b82a417787695d6d6e401 43021	no	23
Warnings:					
Information					
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15	Evidence of Publication	10-Rambousek.pdf	3b23b9768974229aab220ab1028bd4df6f8 521ce	no	7
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Information	•				
		Total Files Size (in bytes)	23	148686	
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## **New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Electronic Acknowledgement Receipt			
EFS ID:	48370957		
Application Number:	17916855		
International Application Number:			
Confirmation Number:	6545		
Title of Invention:	Methods, Compositions and Devices for Treating Mild Traumatic Brain Injury, Post Traumatic Stress Disorder and Mild Traumatic Brain Injury with Post Traumatic Stress Disorder		
First Named Inventor/Applicant Name:	Maghsoud DARIANI		
Customer Number:	26259		
Filer:	Shahin Shams		
Filer Authorized By:			
Attorney Docket Number:	LOB0002US.NP		
Receipt Date:	31-JUL-2023		
Filing Date:	04-OCT-2022		
Time Stamp:	12:43:50		
Application Type:			
Payment information:			

# **Payment information:**

yes
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\$72
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The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

F11, 12-4*					
File Listing	g: 				
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Information:					
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2	Third-Party Submission Under 37 CFR 1.290	Third-party-preissuance- submission.pdf	5bb44e8ce05de55c29c3c0184897647d734 b1cad	no	3
Warnings:					
Information:					
			23720		1
3	Request for Notification of Non- compliant Third-Party Submission	Third-party-notification- request.pdf	1fa6ce104a50521a027bd15b8ab9e302bd7 77cd8	no	
Warnings:					
Information:					
		LIS20220157001Claim Chartes	316837		41
4	Concise Description of Relevance	US20230157981ClaimChartCo mp.pdf	56bd22866616a90c1c5fc8dbb8947266f66 dbd14	no	
Warnings:					
Information:					
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Warnings:					
Information:					
			1284687		
6	Evidence of Publication	12-BIRD.pdf	e241fa7a6248461cf26912b08f02fc65a3abe 7cc	no	7
Warnings:		1			
Information:					

7	Evidence of Publication	13- Psilocybe Azurescens Wikipedia. pdf	738748 937da3b60ed791835b9ba789a7c130aef08 1d3d0	no	2
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Information:					
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Warnings:					
Information:					
		Total Files Size (in bytes)	: 64	32432	

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